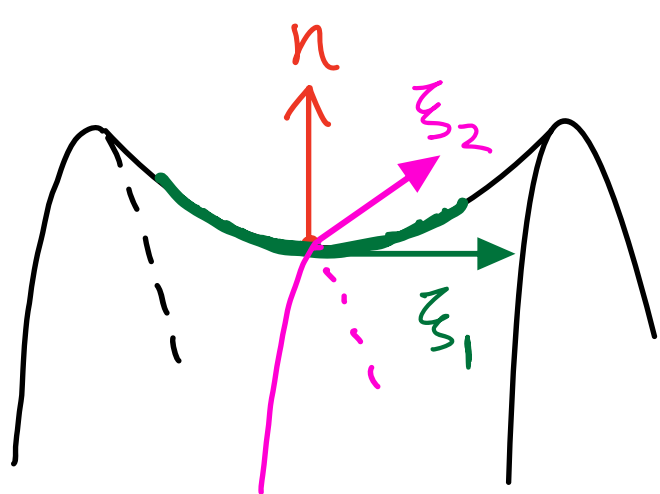


**Definition 3.4.6** (Principal curvatures and principal directions). Let  $S$  be a regular surface and  $p \in S$ . Let  $\xi_1, \xi_2 \in T_p S$  be two linearly independent eigenvectors of the differential  $d\mathbf{n}_p : T_p S \rightarrow T_p S$  of Gauss map at  $p$  and  $\kappa_1, \kappa_2$  be negative of the associated eigenvalues respectively. In other words,

$$\begin{cases} d\mathbf{n}_p(\xi_1) = -\kappa_1 \xi_1 \\ d\mathbf{n}_p(\xi_2) = -\kappa_2 \xi_2 \end{cases} .$$

Then we say that  $\kappa_1, \kappa_2$  are the **principal curvatures** of  $S$  at  $p$ , and  $\xi_1, \xi_2$  are the corresponding **principal directions**.

**Theorem 3.4.8.** Let  $S$  be a regular surface in  $\mathbb{R}^3$  and  $p \in S$ . Then there exists principal directions  $\xi_1, \xi_2 \in T_p S$  which constitute an orthonormal basis for  $T_p S$ .



$$\begin{aligned} d\mathbf{n}_p(\xi_1) &= \overbrace{-\kappa_1 \xi_1}^{<0} \Rightarrow \kappa_1 > 0 \\ d\mathbf{n}_p(\xi_2) &= \underbrace{-\kappa_2 \xi_2}_{>0} \Rightarrow \kappa_2 < 0 \end{aligned}$$

$K > 0$  if the curve bends towards  $\vec{n}$

**Theorem 3.4.10.** Let  $S$  be a regular surface and  $K$  be the Gaussian curvature of  $S$ . Then for any  $p \in S$ ,

$$K(p) = \det(d\mathbf{n}_p) = \kappa_1 \kappa_2 = \frac{\det(\mathbb{II})}{\det(\mathbb{I})}$$

**Definition 3.4.11** (Mean curvature). Let  $S$  be a regular surface and  $d\mathbf{n}_p$  be the differential of Gauss map at  $p \in S$ . The **mean curvature** of  $S$  at  $p$  is

$$H = -\frac{1}{2} \text{tr}(d\mathbf{n}_p) = \frac{1}{2}(\kappa_1 + \kappa_2) = \frac{1}{2} \text{tr}((\mathbb{II})(\mathbb{I}^{-1})) = \frac{1}{2} \left( \frac{gE - 2fF + eG}{EG - F^2} \right).$$

$$\eta: S \longrightarrow \mathbb{S}^2$$

tr = trace = sum of diagonal entries

$$d\mathbf{n}_p: T_p S \longrightarrow T_{\eta(p)} \mathbb{S}^2 = T_p \mathbb{S}^2$$

Matrix representation of  $d\mathbf{n}_p$   
with respect to  $X_u, X_v$

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} = -(\mathbb{II})\mathbb{I}^{-1}$$

$$= -\frac{1}{EG - F^2} \begin{pmatrix} eG - fF & fE - eF \\ fG - gF & gE - fF \end{pmatrix}$$

$$\mathbf{n}_u = d\mathbf{n}_p(X_u) = aX_u + bX_v$$

$$\mathbf{n}_v = d\mathbf{n}_p(X_v) = cX_u + dX_v$$

Matrix representation of  $d\mathbf{n}_p$   
with respect to  $\xi_1, \xi_2$

$$\begin{bmatrix} -\kappa_1 & 0 \\ 0 & -\kappa_2 \end{bmatrix}$$

$$d\mathbf{n}_p(\xi_1) = -\kappa_1 \xi_1 + 0 \xi_2$$

$$d\mathbf{n}_p(\xi_2) = 0 \xi_1 - \kappa_2 \xi_2$$

$\det(d\mathbf{n}_p)$  and  $\text{tr}(d\mathbf{n}_p)$   
can be computed using

$$-(\mathbb{II})\mathbb{I}^{-1} \text{ or } \begin{bmatrix} -\kappa_1 & 0 \\ 0 & -\kappa_2 \end{bmatrix}$$

Rank

- $-\kappa_1, -\kappa_2$  are eigenvalues of

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} = -(\mathbb{II})\mathbb{I}^{-1}$$

roots of  $\det \begin{bmatrix} t-a & -b \\ -c & t-d \end{bmatrix}$

- $\text{tr} \begin{bmatrix} a & b \\ c & d \end{bmatrix} = a+d$

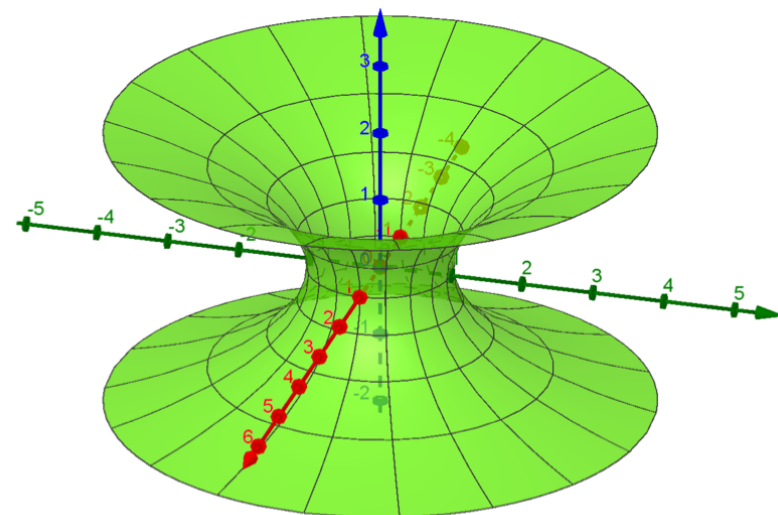
**Definition 3.4.12** (Minimal surface). *Let  $S$  be a regular surface in  $\mathbb{R}^3$  and  $H$  be the mean curvature of  $S$ . We say that  $S$  is a **minimal surface** if  $H = 0$  at every point of  $S$ .*

**Theorem 3.4.13.** *Let  $S$  be a minimal surface with parametrization  $\mathbf{x} : D \rightarrow \mathbb{R}^3$  such that  $\mathbf{x}$  can be extended continuously to the boundary. Then  $S$  has the minimum surface area among all surfaces with the same boundary of  $S$ .*

**Example 3.4.14.** *Show that the catenoid parametrized by*

$$\mathbf{x}(\theta, v) = (\cosh v \cos \theta, \cosh v \sin \theta, v), \quad 1 < \theta < 2\pi, v \in \mathbb{R},$$

*is a minimal surface.*



**Example 3.4.14.** Show that the catenoid parametrized by

$$\mathbf{x}(\theta, v) = (\cosh v \cos \theta, \cosh v \sin \theta, v), \quad 1 < \theta < 2\pi, v \in \mathbb{R},$$

is a minimal surface.

*Proof.* We have

$$\mathbf{x}_\theta = (-\cosh v \sin \theta, \cosh v \cos \theta, 0)$$

$$\mathbf{x}_v = (\sinh v \cos \theta, \sinh v \sin \theta, 1)$$

$$\mathbf{x}_\theta \times \mathbf{x}_v = (\cosh v \cos \theta, \cosh v \sin \theta, -\cosh v \sinh v)$$

$$\|\mathbf{x}_\theta \times \mathbf{x}_v\|^2 = \cosh^2 v + \cosh^2 v \sinh^2 v = \cosh^2 v(1 + \sinh^2 v) = \cosh^4 v$$

$$\mathbf{n} = (\operatorname{sech} v \cos \theta, \operatorname{sech} v \sin \theta, \tanh v)$$

$$\mathbf{x}_{\theta\theta} = (-\cosh v \cos \theta, -\cosh v \sin \theta, 0)$$

$$\mathbf{x}_{\theta v} = (-\sinh v \sin \theta, \sinh v \cos \theta, 0)$$

$$\mathbf{x}_{vv} = (\cosh v \cos \theta, \cosh v \sin \theta, 0).$$

Then the first and second fundamental forms are

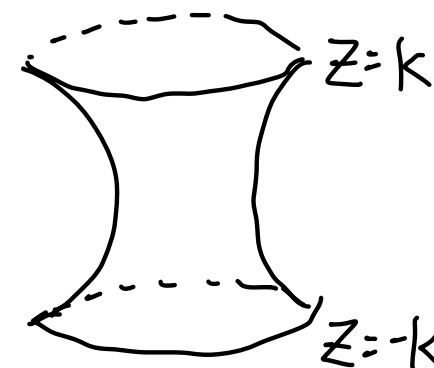
$$I = \begin{pmatrix} E & F \\ F & G \end{pmatrix} = \begin{pmatrix} \cosh^2 v & 0 \\ 0 & \cosh^2 v \end{pmatrix}$$

$$II = \begin{pmatrix} e & f \\ f & g \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$$

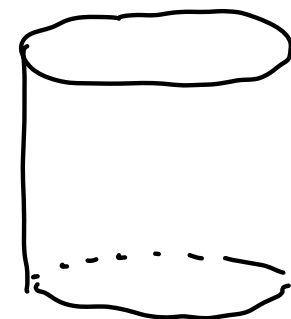
Thus the mean curvature is

$$H = \frac{1}{2} \left( \frac{gE - 2fF + eG}{EG - F^2} \right) = \frac{1}{2} \left( \frac{\cosh^2 v - \cosh^2 v}{\cosh^4 v} \right) = 0.$$

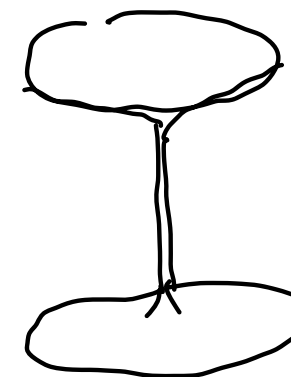
Therefore the catenoid is a minimal surface. □



$$A = \pi(2k \sinh 2k)$$



$$A = 4\pi k \cosh k$$



$$A \rightarrow 2\pi \cosh^2 k$$

$$E = \langle \mathbf{x}_\theta, \mathbf{x}_\theta \rangle$$

$$e = \langle \mathbf{x}_{\theta\theta}, \mathbf{n} \rangle$$

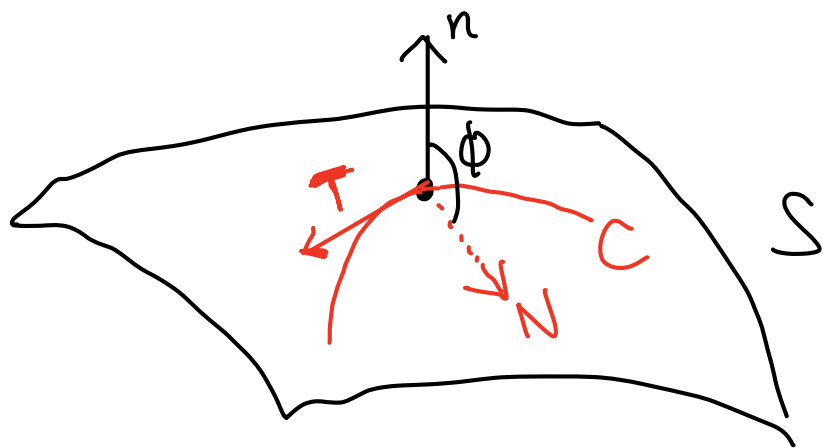
**Theorem 3.4.15.** Let  $S$  be a regular surface and  $p \in S$  be a point on  $S$ . Let  $C$  be a regular parametrized curve passing through  $p$ . Then we have

$$\kappa \cos \phi = -\langle \mathbf{T}, d\mathbf{n}_p(\mathbf{T}) \rangle$$

where  $\mathbf{T}, \kappa$  are the unit tangent vector, signed curvature of  $C$  at  $p$  respectively,  $d\mathbf{n}_p$  is the differential of Gauss map of  $S$  at  $p$  and  $\phi$  is the angle between the unit normal vector  $\mathbf{N}$  of  $C$  and the unit normal vector  $\mathbf{n}$  of  $S$  at  $p$ . Furthermore if  $\mathbf{T} = \alpha \mathbf{x}_u + \beta \mathbf{x}_v \in T_p S$ , then we have

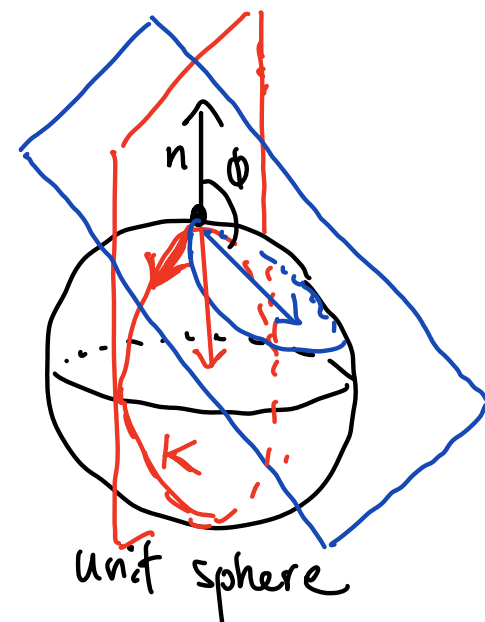
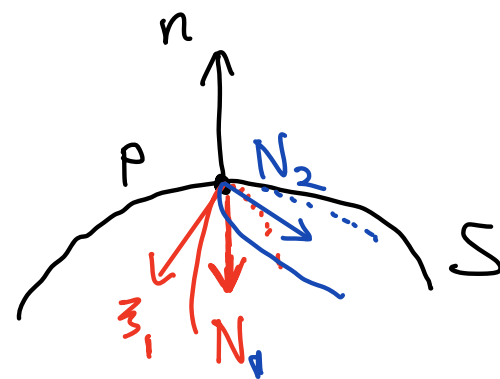
$$\kappa \cos \phi = (\alpha \quad \beta) II \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

where  $II$  is the second fundamental form.



$\kappa$  = signed curvature of  $C$

$$\kappa \cos \phi = -\langle \mathbf{T}, d\mathbf{n}_p(\mathbf{T}) \rangle$$



$\kappa \leftarrow \kappa$

Def normal curvature at  $p$   
 $K_n(v) = -\langle v, d\mathbf{n}_p(v) \rangle$

**Definition 3.4.16** (Normal curvature). *Let  $S$  be a regular surface and  $p$  be a point on  $S$ . Let  $\mathbf{v} \in T_p S$  be a unit vector tangent to the surface  $S$  at  $p$ . The **normal curvature** of  $S$  at  $p$  along  $\mathbf{v}$  is*

$$\kappa_n(\mathbf{v}) = \kappa \cos \phi = -\langle \mathbf{v}, d\mathbf{n}_p(\mathbf{v}) \rangle$$

where  $\kappa$  is the curvature of a curve  $C$  which passes through  $p$  and has unit tangent vector equals to  $\mathbf{v}$ , and  $\phi$  is the angle between the unit normal vectors  $\mathbf{N}$  and  $\mathbf{n}$  of  $C$  and  $S$  at  $p$  respectively.

**Theorem 3.4.17.** *Let  $S$  be a regular surface and  $p \in S$  be a point on  $S$ . Let  $\xi_1, \xi_2$  be the principal directions which constitute an orthonormal basis for  $T_p S$  and  $\kappa_1, \kappa_2$  be the associated principal curvatures at  $p$  respectively. Let  $\mathbf{v} \in T_p S$  be a unit vector tangent to  $S$  at  $p$  with  $\mathbf{v} = \cos \theta \xi_1 + \sin \theta \xi_2$  where  $\theta$  is the angle between  $\mathbf{v}$  and  $\xi_1$ . Then the normal curvature of  $S$  at  $p$  along  $\mathbf{v}$  is*

$$\kappa_n(\mathbf{v}) = \kappa_1 \cos^2 \theta + \kappa_2 \sin^2 \theta.$$

**Theorem 3.4.18.** *Let  $S$  be a regular surface and  $p \in S$ . Let  $\kappa_1 \leq \kappa_2$  be the principal curvatures of  $S$  at  $p$  which associate with two orthogonal principal directions. Then for any unit vector  $\mathbf{v} \in T_p S$  tangent to  $S$  at  $p$ , the normal curvature  $\kappa_n(\mathbf{v})$  along  $\mathbf{v}$  satisfies*

$$\kappa_1 \leq \kappa_n(\mathbf{v}) \leq \kappa_2.$$

**Theorem 3.4.19.** *Let  $S$  be a regular surface parametrized by  $\mathbf{x}(u, v)$  and  $K$  be the Gaussian curvature of  $S$ .*

1.

$$K = \frac{\det(II)}{\det(I)}$$

where  $I$  and  $II$  are the first fundamental forms of  $S$ .

2.

$$\mathbf{n}_u \times \mathbf{n}_v = K \mathbf{x}_u \times \mathbf{x}_v$$

where  $\mathbf{n}$  is the unit normal vector of  $S$ .

3.

$$K = \frac{d\sigma}{dA}$$

where  $A$  and  $\sigma$  are the signed area function on  $S$  and  $S^2$  respectively.

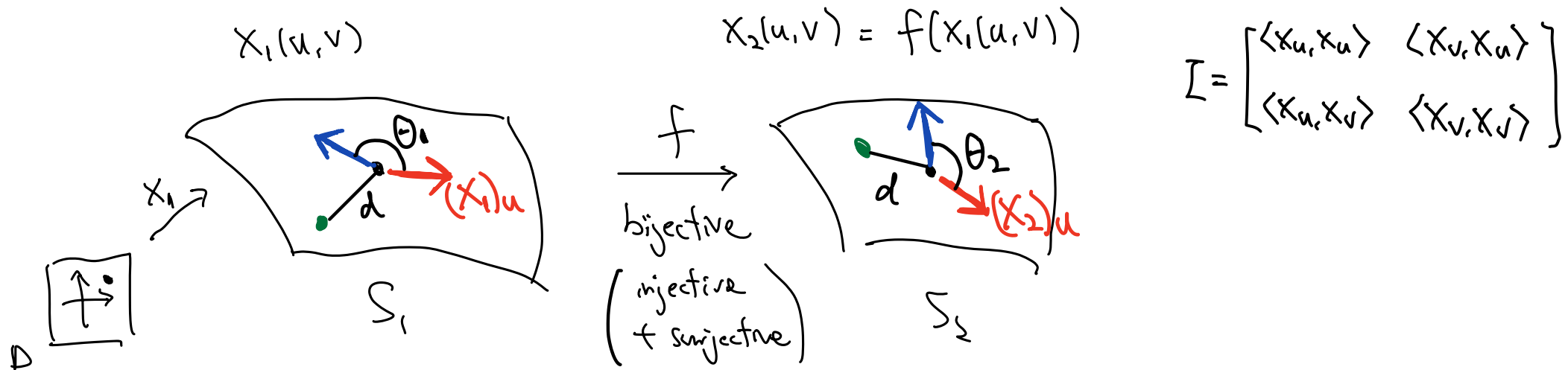
4.

$$K = \kappa_1 \kappa_2$$

where  $\kappa_1, \kappa_2$  are the principal curvatures associated with two orthogonal principal directions.

### 3.5 Theorema egregium (remarkable theorem)

Let  $S_1$  be a regular surface and  $f : S_1 \rightarrow S_2$  be a differentiable bijective map from  $S_1$  to another regular surface  $S_2$ . Then any regular parametrization  $\mathbf{x}_1(u, v)$  of  $S_1$  induces a parametrization of  $S_2$  by  $\mathbf{x}_2(u, v) = f \circ \mathbf{x}_1(u, v) = f(\mathbf{x}_1(u, v))$ . Furthermore the first fundamental forms  $I_1(u, v)$  and  $I_2(u, v)$  on  $S_1$  and  $S_2$  with respect to  $\mathbf{x}_1(u, v)$  and  $\mathbf{x}_2(u, v)$  can both be considered as matrix valued functions of  $u, v$ . We say that  $f : S_1 \rightarrow S_2$  is an isometry if  $I_1(u, v) = I_2(u, v)$  for any  $u, v$ .



If  $I_1(u, v) = I_2(u, v)$  for all  $(u, v) \in D$ ,  $f$  is called isometry  $\Rightarrow$

$\|(\mathbf{x}_1)_u\| = \|(\mathbf{x}_2)_u\|$   
 $\|(\mathbf{x}_1)_v\| = \|(\mathbf{x}_2)_v\|$   
 $\theta_1 = \theta_2$

$S_1, S_2$  are called isometric

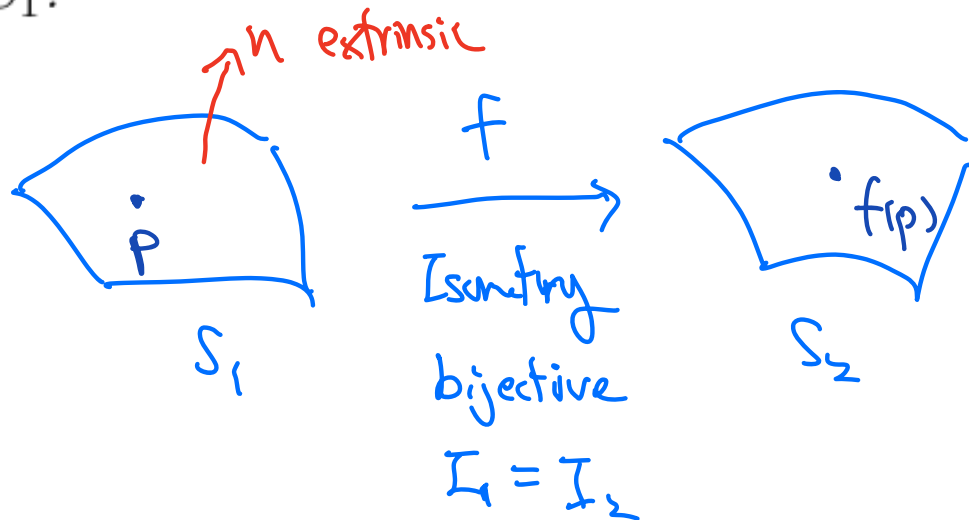


**Definition 3.5.1** (Isometry). *Let  $S_1$  and  $S_2$  be regular surfaces. Let  $f : S_1 \rightarrow S_2$  be a differentiable bijective map from  $S_1$  to  $S_2$ . We say that a map  $f : S_1 \rightarrow S_2$  is an **isometry** if  $I_1(u, v) = I_2(u, v)$  for any  $u, v$ , where  $I_1(u, v)$  is the first fundamental form of  $S_1$  and  $I_2(u, v)$  is the first fundamental form of  $S_2$  induced by  $I_1$ . We say that  $S_1$  and  $S_2$  are **isometric** if there exists an isometry between  $S_1$  and  $S_2$ .*

**Theorem 3.5.2** (Theorema egregium). Let  $S_1$  and  $S_2$  be two regular surfaces. Suppose  $S_1$  and  $S_2$  are isometric, that is, there exists isometry  $f : S_1 \rightarrow S_2$  between  $S_1$  and  $S_2$ . Then for any  $p \in S_1$ , the Gaussian curvature of  $S_1$  at  $p$  is equal to the Gaussian curvature of  $S_2$  at  $f(p)$ . In other words,

$$\underbrace{K(f(p)) = K(p)}$$

for any  $p \in S_1$ .



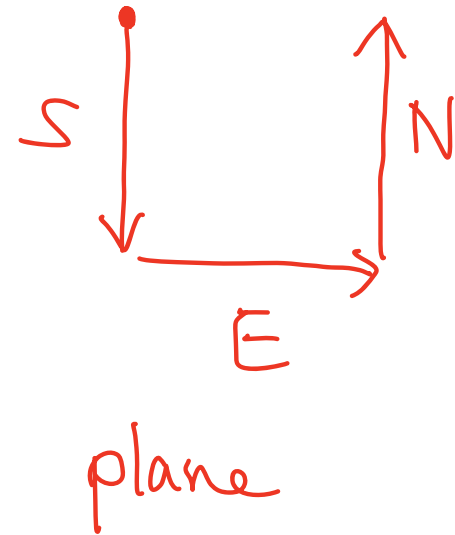
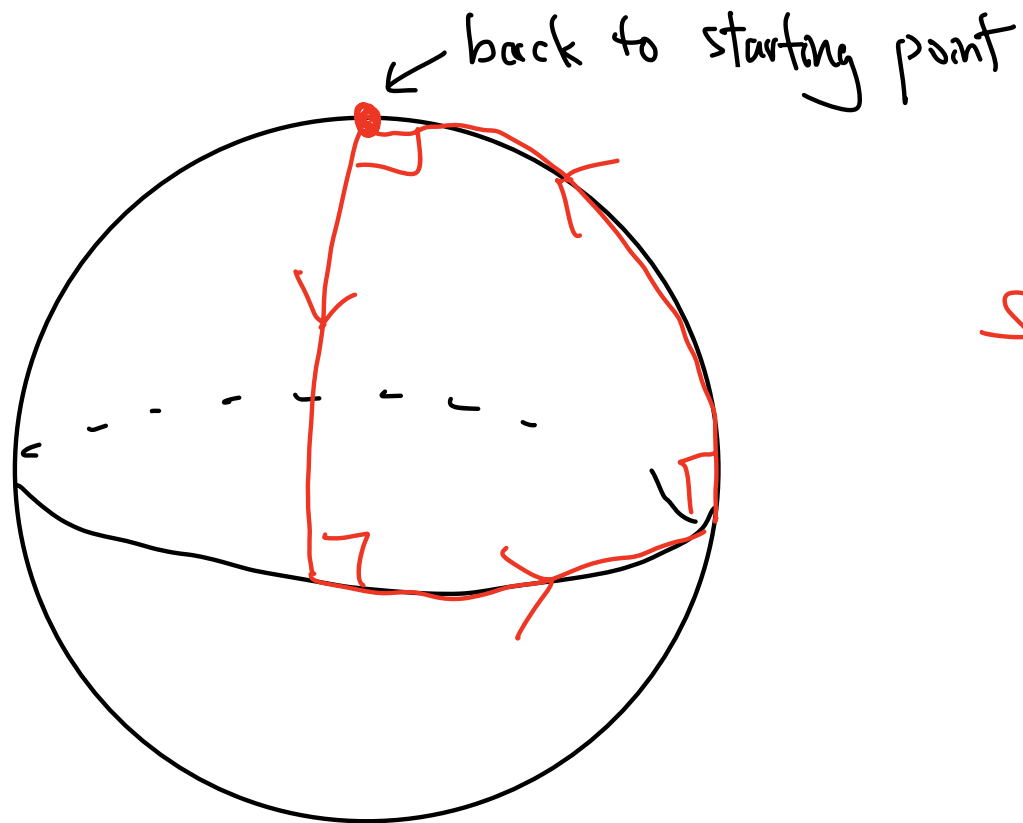
$K$  was defined using  $\vec{n}, I, II$  :  $K = \frac{\det II}{\det I}$

Thm 3.5.2  $\Rightarrow K$  is indep of  $\vec{n}, I$

Pf

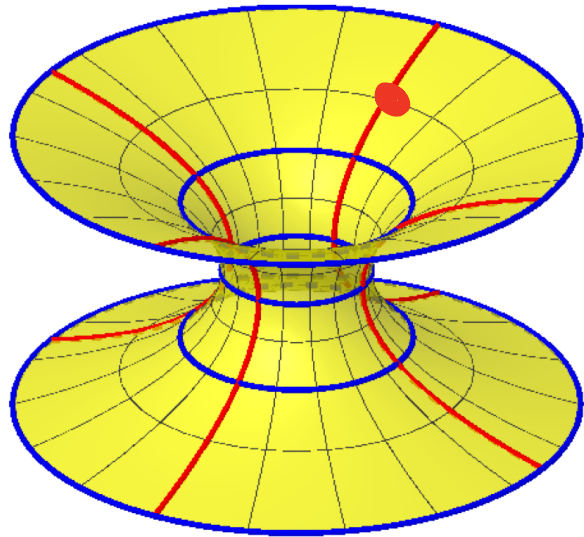
$$K = \frac{1}{4(EG - F^2)^2} \left( \begin{vmatrix} -E_{vv} + 2F_{uv} - G_{uu} & E_u & 2F_u - E_v \\ 2F_v - G_u & E & F \\ G_v & F & G \end{vmatrix} - \begin{vmatrix} 0 & E_v & G_u \\ E_v & E & F \\ G_u & F & G \end{vmatrix} \right)$$

Thm 3.5.2  $\Rightarrow$  Measuring distance can be used to compute curvature

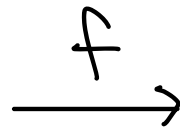
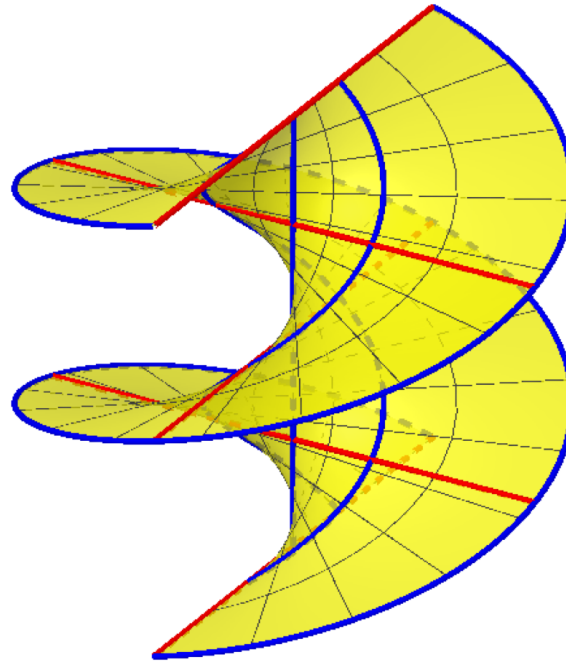


# Example 3.5.3 (Isometry between catenoid and helicoid)

Catenoid



Helicoid



$$\mathbf{x}_1(\theta, v) = (\cosh v \cos \theta, \cosh v \sin \theta, v)$$

$$\mathbf{x}_2(\theta, v) = (\sinh v \cos \theta, \sinh v \sin \theta, \theta)$$

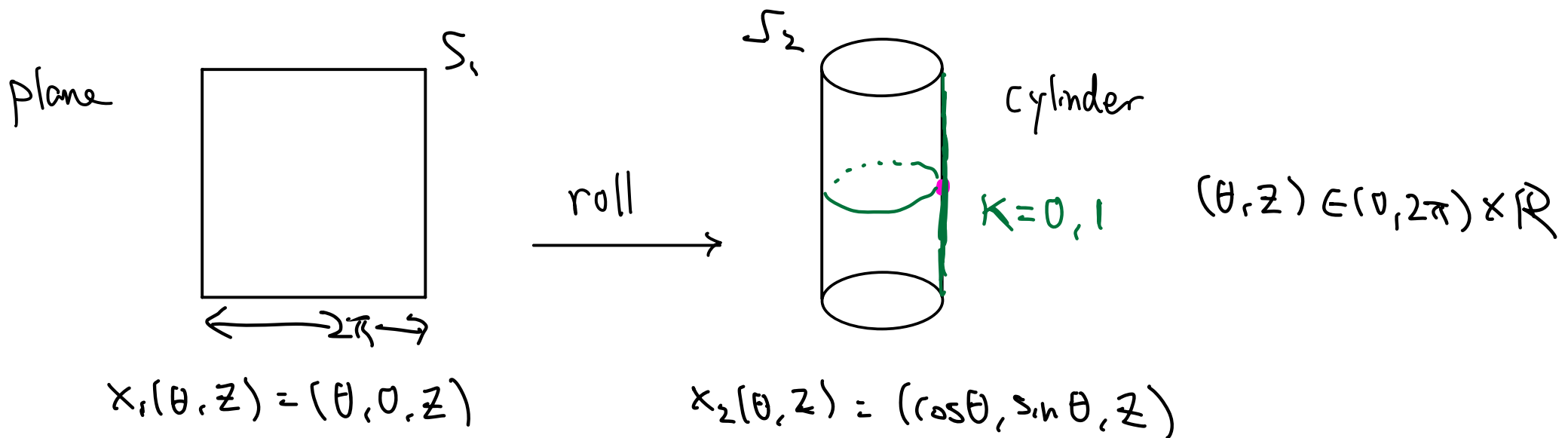
$$(\theta, v) \in \underbrace{(0, 2\pi) \times \mathbb{R}}_{\mathcal{D}}$$

$$f(\mathbf{x}_1(\theta, v)) = \mathbf{x}_2(\theta, v)$$

$$\mathcal{I}_1(\theta, v) = \mathcal{I}_2(\theta, v) = \begin{pmatrix} \cosh^2 v & 0 \\ 0 & \cosh^2 v \end{pmatrix} \Rightarrow \text{Isometry} \Rightarrow K \text{ same}$$

$$K = -\frac{1}{\cosh^4 v}$$

Catenoid and Helicoid are both minimal surface and thus have mean curvature identically zero. However, the mean curvature of two isometric surfaces may not be identical. For example, a cylindrical surface and a plane are isometric but a cylindrical surface has nonzero mean curvature while that of a plane is zero.



$$I_1 = I_2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \Rightarrow f \text{ is isometry} \Rightarrow \text{same } K(\theta, z)$$

Remark Different mean curvature  $H_1 = 0$        $H_2 = \frac{1}{2}$  (or  $-\frac{1}{2}$ )

**Theorem 3.5.4.** Let  $\mathbf{x}(u, v)$  be a regular parametrized surface. Then

$$K = \frac{1}{4(EG - F^2)^2} \left( \begin{array}{ccc|ccc} -E_{vv} + 2F_{uv} - G_{uu} & E_u & 2F_u - E_v & 0 & E_v & G_u \\ 2F_v - G_u & E & F & E_v & E & F \\ G_v & F & G & G_u & F & G \end{array} \right).$$

In particular, if  $F = 0$  is identically zero, then

$$K = -\frac{1}{2\sqrt{EG}} \left[ \left( \frac{E_v}{\sqrt{EG}} \right)_v + \left( \frac{G_u}{\sqrt{EG}} \right)_u \right].$$

*Proof.* Since  $\det(I) = EG - F^2 = \|\mathbf{x}_u \times \mathbf{x}_v\|^2$  and  $\|\mathbf{x}_u \times \mathbf{x}_v\| \mathbf{n} = \mathbf{x}_u \times \mathbf{x}_v$ ,

$$\begin{aligned} & K(EG - F^2)^2 \\ &= \det(I) \det(II) \\ &= \|\mathbf{x}_u \times \mathbf{x}_v\|^2 \begin{vmatrix} \langle \mathbf{x}_{uu}, \mathbf{n} \rangle & \langle \mathbf{x}_{uv}, \mathbf{n} \rangle \\ \langle \mathbf{x}_{vu}, \mathbf{n} \rangle & \langle \mathbf{x}_{vv}, \mathbf{n} \rangle \end{vmatrix} \\ &= \begin{vmatrix} \langle \mathbf{x}_{uu}, \|\mathbf{x}_u \times \mathbf{x}_v\| \mathbf{n} \rangle & \langle \mathbf{x}_{uv}, \|\mathbf{x}_u \times \mathbf{x}_v\| \mathbf{n} \rangle \\ \langle \mathbf{x}_{vu}, \|\mathbf{x}_u \times \mathbf{x}_v\| \mathbf{n} \rangle & \langle \mathbf{x}_{vv}, \|\mathbf{x}_u \times \mathbf{x}_v\| \mathbf{n} \rangle \end{vmatrix} \\ &= \begin{vmatrix} \langle \mathbf{x}_{uu}, \mathbf{x}_u \times \mathbf{x}_v \rangle & \langle \mathbf{x}_{uv}, \mathbf{x}_u \times \mathbf{x}_v \rangle \\ \langle \mathbf{x}_{vu}, \mathbf{x}_u \times \mathbf{x}_v \rangle & \langle \mathbf{x}_{vv}, \mathbf{x}_u \times \mathbf{x}_v \rangle \end{vmatrix} \\ &= \begin{vmatrix} \langle \mathbf{x}_{uu}, \mathbf{x}_{vv} \rangle - \langle \mathbf{x}_{uv}, \mathbf{x}_{uv} \rangle & \langle \mathbf{x}_{uu}, \mathbf{x}_u \rangle & \langle \mathbf{x}_{uu}, \mathbf{x}_v \rangle \\ \langle \mathbf{x}_{vv}, \mathbf{x}_u \rangle & \langle \mathbf{x}_u, \mathbf{x}_u \rangle & \langle \mathbf{x}_u, \mathbf{x}_v \rangle \\ \langle \mathbf{x}_{vv}, \mathbf{x}_v \rangle & \langle \mathbf{x}_v, \mathbf{x}_u \rangle & \langle \mathbf{x}_v, \mathbf{x}_v \rangle \end{vmatrix} \\ &\quad - \begin{vmatrix} 0 & \langle \mathbf{x}_{uv}, \mathbf{x}_u \rangle & \langle \mathbf{x}_{uv}, \mathbf{x}_v \rangle \\ \langle \mathbf{x}_{vu}, \mathbf{x}_u \rangle & \langle \mathbf{x}_u, \mathbf{x}_u \rangle & \langle \mathbf{x}_u, \mathbf{x}_v \rangle \\ \langle \mathbf{x}_{vu}, \mathbf{x}_v \rangle & \langle \mathbf{x}_v, \mathbf{x}_u \rangle & \langle \mathbf{x}_v, \mathbf{x}_v \rangle \end{vmatrix}. \quad (\text{Proposition } \boxed{1.3.17}) \end{aligned}$$

Observe that by product rule (Proposition [1.3.34](#)),

$$\begin{aligned}
\begin{pmatrix} E_u & F_u \\ F_u & G_u \end{pmatrix} &= \frac{\partial}{\partial u} \begin{pmatrix} E & F \\ F & G \end{pmatrix} \\
&= \frac{\partial}{\partial u} \begin{pmatrix} \langle \mathbf{x}_u, \mathbf{x}_u \rangle & \langle \mathbf{x}_u, \mathbf{x}_v \rangle \\ \langle \mathbf{x}_v, \mathbf{x}_u \rangle & \langle \mathbf{x}_v, \mathbf{x}_v \rangle \end{pmatrix} \\
&= \begin{pmatrix} \langle \mathbf{x}_{uu}, \mathbf{x}_u \rangle & \langle \mathbf{x}_{uu}, \mathbf{x}_v \rangle \\ \langle \mathbf{x}_{vu}, \mathbf{x}_u \rangle & \langle \mathbf{x}_{vu}, \mathbf{x}_v \rangle \end{pmatrix} + \begin{pmatrix} \langle \mathbf{x}_u, \mathbf{x}_{uu} \rangle & \langle \mathbf{x}_u, \mathbf{x}_{vu} \rangle \\ \langle \mathbf{x}_v, \mathbf{x}_{uu} \rangle & \langle \mathbf{x}_v, \mathbf{x}_{vu} \rangle \end{pmatrix} \\
&= \begin{pmatrix} 2\langle \mathbf{x}_{uu}, \mathbf{x}_u \rangle & \langle \mathbf{x}_{uu}, \mathbf{x}_v \rangle + \langle \mathbf{x}_{uv}, \mathbf{x}_u \rangle \\ \langle \mathbf{x}_{uu}, \mathbf{x}_v \rangle + \langle \mathbf{x}_{uv}, \mathbf{x}_u \rangle & 2\langle \mathbf{x}_{vu}, \mathbf{x}_v \rangle \end{pmatrix}.
\end{aligned}$$

Similarly

$$\begin{pmatrix} E_v & F_v \\ F_v & G_v \end{pmatrix} = \begin{pmatrix} 2\langle \mathbf{x}_{uv}, \mathbf{x}_u \rangle & \langle \mathbf{x}_{vv}, \mathbf{x}_u \rangle + \langle \mathbf{x}_{uv}, \mathbf{x}_v \rangle \\ \langle \mathbf{x}_{vv}, \mathbf{x}_u \rangle + \langle \mathbf{x}_{uv}, \mathbf{x}_v \rangle & 2\langle \mathbf{x}_{vv}, \mathbf{x}_v \rangle \end{pmatrix}.$$

Combining the above two equalities, we obtain

$$\begin{cases} \langle \mathbf{x}_{uu}, \mathbf{x}_u \rangle = \frac{E_u}{2}, \\ \langle \mathbf{x}_{uv}, \mathbf{x}_u \rangle = \frac{E_v}{2}, \\ \langle \mathbf{x}_{uv}, \mathbf{x}_v \rangle = \frac{G_u}{2}, \\ \langle \mathbf{x}_{vv}, \mathbf{x}_v \rangle = \frac{G_v}{2}, \\ \langle \mathbf{x}_{uu}, \mathbf{x}_v \rangle = F_u - \langle \mathbf{x}_{uv}, \mathbf{x}_u \rangle = F_u - \frac{E_v}{2}, \\ \langle \mathbf{x}_{vv}, \mathbf{x}_u \rangle = F_v - \langle \mathbf{x}_{uv}, \mathbf{x}_v \rangle = F_v - \frac{G_u}{2}. \end{cases}$$

Moreover by considering the second derivative of  $F = \langle \mathbf{x}_u, \mathbf{x}_v \rangle$  with respect to  $u, v$ , we have

$$\begin{aligned}
\langle \mathbf{x}_{uu}, \mathbf{x}_{vv} \rangle &= \frac{\partial}{\partial u} \langle \mathbf{x}_{vv}, \mathbf{x}_u \rangle - \langle \mathbf{x}_{vvu}, \mathbf{x}_u \rangle \\
&= \frac{\partial}{\partial u} \left( F_v - \frac{G_u}{2} \right) - \left( \frac{\partial}{\partial v} \langle \mathbf{x}_{uv}, \mathbf{x}_u \rangle - \langle \mathbf{x}_{uv}, \mathbf{x}_{uv} \rangle \right) \\
&= F_{uv} - \frac{G_{uu}}{2} - \left( \frac{\partial}{\partial v} \frac{E_v}{2} - \langle \mathbf{x}_{uv}, \mathbf{x}_{uv} \rangle \right) \\
&= -\frac{E_{vv}}{2} + F_{uv} - \frac{G_{uu}}{2} + \langle \mathbf{x}_{uv}, \mathbf{x}_{uv} \rangle
\end{aligned}$$

which implies

$$\langle \mathbf{x}_{uu}, \mathbf{x}_{vv} \rangle - \langle \mathbf{x}_{uv}, \mathbf{x}_{uv} \rangle = -\frac{E_{vv}}{2} + F_{uv} - \frac{G_{uu}}{2}.$$

Therefore

$$K(EG-F^2)^2 = \begin{pmatrix} -\frac{E_{vv}}{2} + F_{uv} - \frac{G_{uu}}{2} & \frac{E_u}{2} & F_u - \frac{E_v}{2} & \left| \begin{array}{c} 0 \\ \frac{E_v}{2} \\ \frac{G_u}{2} \end{array} \right| & \left| \begin{array}{ccc} 0 & \frac{E_v}{2} & \frac{G_u}{2} \\ \frac{E_v}{2} & E & F \\ \frac{G_u}{2} & F & G \end{array} \right| \end{pmatrix}$$

as desire. If particular, if  $F = 0$ , then

$$\begin{aligned}
K &= \frac{1}{4E^2G^2} \begin{pmatrix} -E_{vv} - G_{uu} & E_u & -E_v & \left| \begin{array}{c} 0 \\ E_v \\ G_u \end{array} \right| \\ -G_u & E & 0 & \left| \begin{array}{ccc} 0 & E_v & G_u \\ E_v & E & 0 \\ G_u & 0 & G \end{array} \right| \\ G_v & 0 & G & \end{pmatrix} \\
&= \frac{1}{4E^2G^2} (-EGE_{vv} - EGG_{uu} + GE_uG_u + EE_vG_v + GE_v^2 + EG_u^2) \\
&= -\frac{E_{vv}}{4EG} - \frac{G_{uu}}{4EG} + \frac{E_uG_u}{4E^2G} + \frac{E_vG_v}{4EG^2} + \frac{E_v^2}{4E^2G} + \frac{G_u^2}{4EG^2}.
\end{aligned}$$

Observe that

$$\begin{cases} \left( \frac{E_v}{\sqrt{EG}} \right)_v = \frac{E_{vv}}{\sqrt{EG}} - \frac{E_v^2}{2E\sqrt{EG}} - \frac{E_vG_v}{2G\sqrt{EG}} \\ \left( \frac{G_u}{\sqrt{EG}} \right)_u = \frac{G_{uu}}{\sqrt{EG}} - \frac{G_u^2}{2G\sqrt{EG}} - \frac{E_uG_u}{2E\sqrt{EG}} \end{cases}$$

Hence

$$\begin{aligned}
&\left( \frac{E_v}{\sqrt{EG}} \right)_v + \left( \frac{G_u}{\sqrt{EG}} \right)_u \\
&= \frac{E_{vv}}{\sqrt{EG}} - \frac{E_v^2}{2E\sqrt{EG}} - \frac{E_vG_v}{2G\sqrt{EG}} + \frac{G_{uu}}{\sqrt{EG}} - \frac{G_u^2}{2G\sqrt{EG}} - \frac{E_uG_u}{2E\sqrt{EG}} \\
&= -2\sqrt{EG} \left( -\frac{E_{vv}}{4EG} + \frac{E_v^2}{4E^2G} + \frac{E_vG_v}{4EG^2} - \frac{G_{uu}}{4EG} + \frac{G_u^2}{4EG^2} + \frac{E_uG_u}{4E^2G} \right) \\
&= -2K\sqrt{EG}
\end{aligned}$$

and the result follows.  $\square$

# 3.6 Gauss-Bonnet theorem

$$\int_a^b f'(t) dt = f(b) - f(a)$$

**Theorem 3.6.6** (Gauss-Bonnet theorem). *Let  $S$  be a simple closed regular surface in  $\mathbb{R}^3$ . Then*

$$\iint_S \underbrace{K}_{\text{local: curvature}} dA = 2\pi \underbrace{\chi(S)}_{\text{Euler characteristics (Global: shape)}}$$

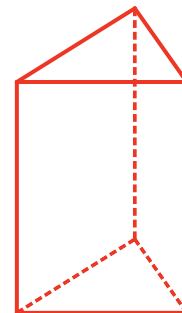
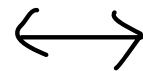
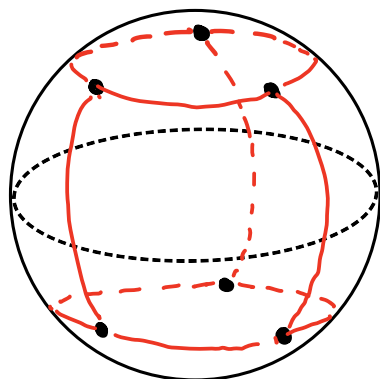
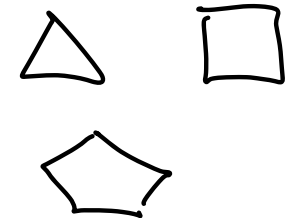
local: curvature      Euler characteristics (Global: shape)

**Definition 3.6.1** (Euler characteristic). *The Euler characteristic of a closed surface  $S$  is*

$$\chi(S) = v - e + f$$

where  $v$ ,  $e$  and  $f$  are the number of vertices, edges and faces of a polyhedron modeled on  $S$ .

polygon



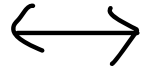
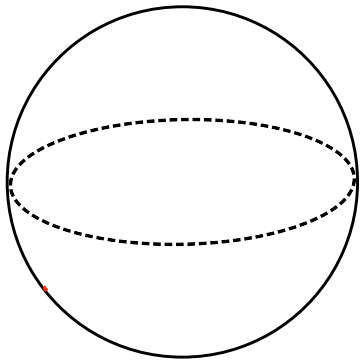
$$v = 6$$

$$e = 9 \quad f = 5$$

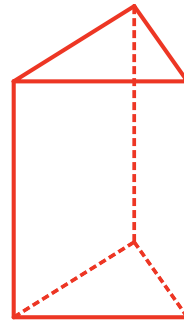
$$v - e + f = 2$$

$$\chi(S^2) = 2$$

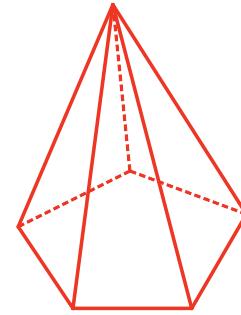




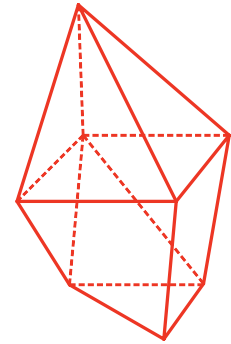
$$\chi(S^2) = v - e + f = 2$$



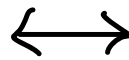
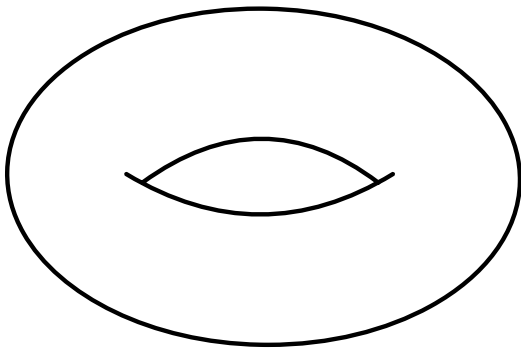
$$\begin{aligned} v &= 6 \\ e &= 9 \\ f &= 5 \end{aligned}$$



$$\begin{aligned} v &= 6 \\ e &= 10 \\ f &= 6 \end{aligned}$$



$$\begin{aligned} v &= 8 \\ e &= 16 \\ f &= 10 \end{aligned}$$

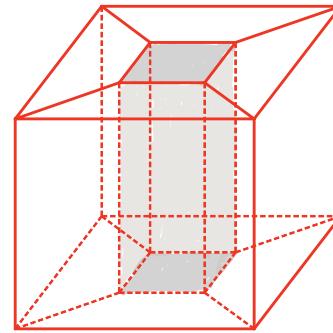


$$\chi(T) = v - e + f = 0$$

12

8

12



$$v = 16$$

$$e = 32$$

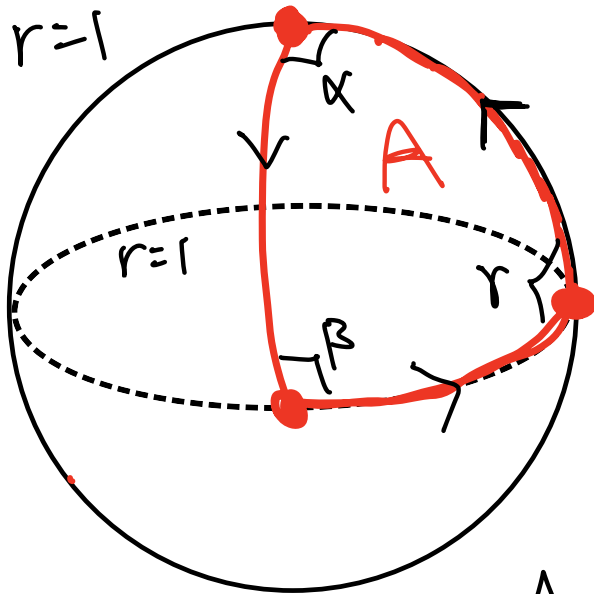
$$f = 16$$

**Theorem 3.6.2** (Area of polygon on unit sphere). Let  $\alpha, \beta, \gamma$  be the interior angles of a triangle, with edges being great circular arcs<sup>12</sup>, on the unit sphere and  $A$  be the area of the triangle. Then

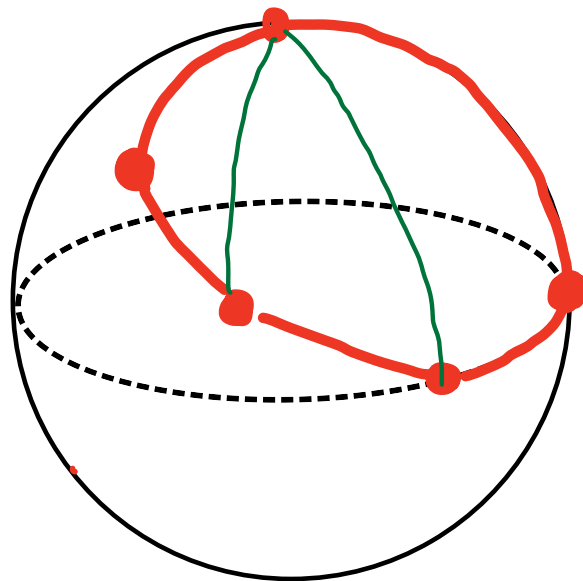
$$\alpha + \beta + \gamma = A + \pi.$$

More generally, Let  $\alpha_1, \alpha_2, \dots, \alpha_n$  be the interior angles of a polygon with  $n$  edges, which are great circular arcs, on the unit sphere and  $A$  be the area of the polygon. Then

$$\alpha_1 + \alpha_2 + \dots + \alpha_n = A + (n - 2)\pi.$$

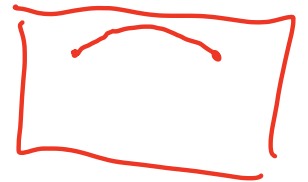
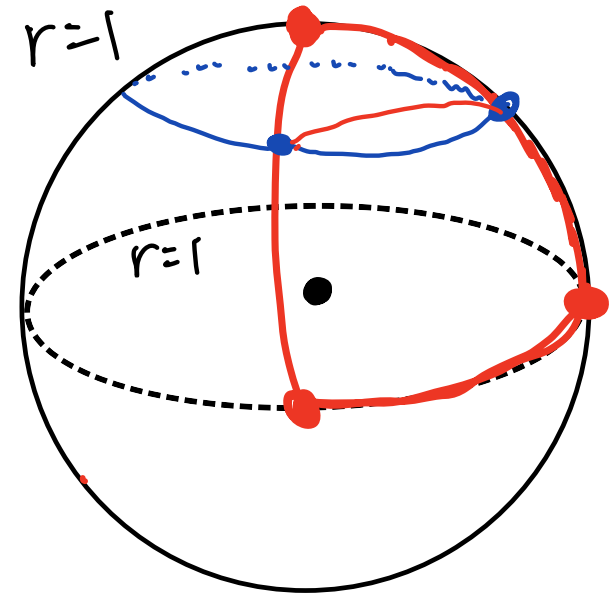


$$\alpha + \beta + \gamma = \frac{3\pi}{2} = \frac{4\pi}{2} = \frac{4\pi}{2} + \pi = 2\pi + \pi = 3\pi$$



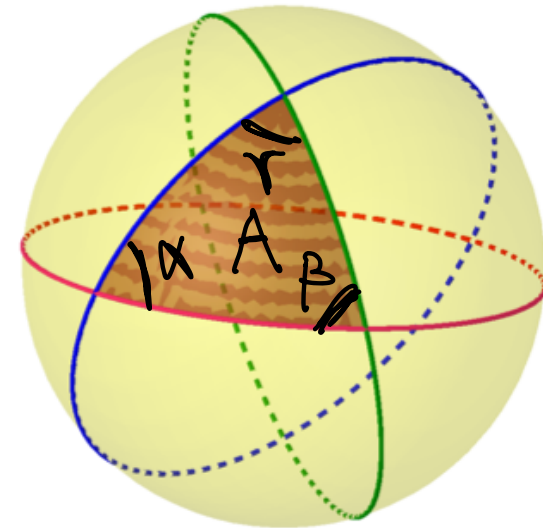
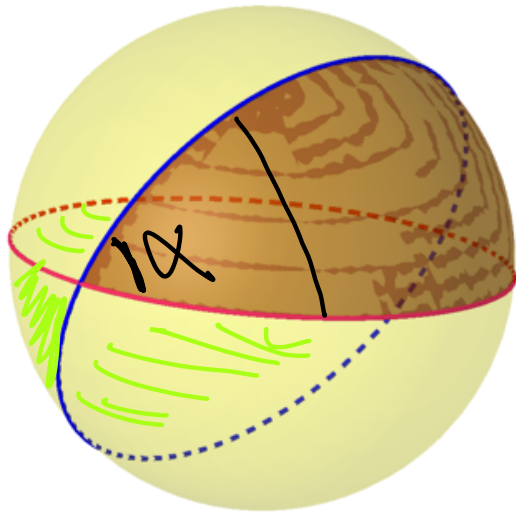
$$n = 5.$$

Subdivide into  $(n-2) = 3$  triangles



**Theorem 3.6.2** (Area of polygon on unit sphere). Let  $\alpha, \beta, \gamma$  be the interior angles of a triangle, with edges being great circular arcs<sup>12</sup>, on the unit sphere and  $A$  be the area of the triangle. Then

$$\alpha + \beta + \gamma = A + \pi.$$



Area of brown region

$$= 4\pi \cdot \frac{\alpha}{2\pi} = 2\alpha$$

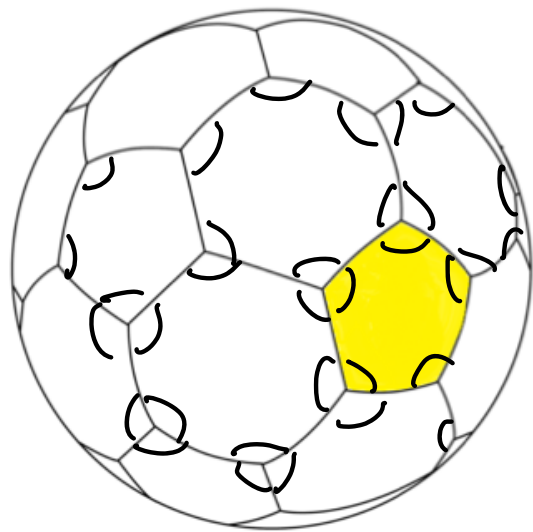
$$2(2\alpha) + 2(2\beta) + 2(2\gamma) = 4\pi$$

$$+ 2A + 2A$$

$$\alpha + \beta + \gamma = \pi + A$$

**Theorem 3.6.3** (Euler characteristic of sphere). *A polyhedron which is modeled on a sphere has Euler characteristic  $\chi = 2$ .*

*Proof.* Consider a polyhedron modeled on the unit sphere. By deforming the edges, we may assume that the edges are great circular arcs on the unit sphere. Let  $v$ ,  $e$  and  $f$  be the number of vertices, edges and faces of the polyhedron. Suppose the  $k$ -th face,  $k = 1, 2, \dots, f$ , is a polygon with  $e_k$  edges,  $e_k$  interior angles  $\alpha_{k_1}, \alpha_{k_2}, \dots, \alpha_{k_{e_k}}$  and has area equal to  $A_k$ . By Theorem [3.6.2](#), we have



$k$ -th face,  $e_k$  edges

1, 2, 3, ...,  $f$  faces

$$\sum_{i=1}^{e_k} \alpha_{k_i} = (e_k - 2)\pi + A_k$$

$$\sum_{k=1}^f \sum_{i=1}^{e_k} \alpha_{k_i} = \sum_{k=1}^f e_k \pi - 2 \sum_{k=1}^f \pi + \sum_{k=1}^f A_k.$$

$2\pi v$

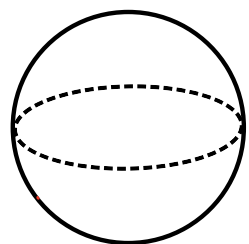
$2\pi e$

$2\pi f$

$+ 4\pi$

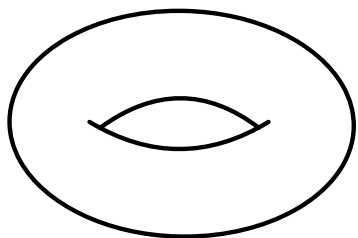
$$v - e + f = 2$$

# Genus of closed surfaces (Number of holes)



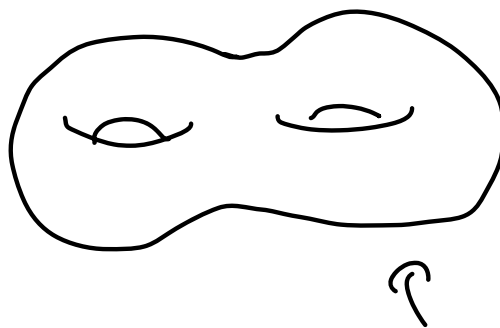
$$g=0$$

$$\chi=2$$



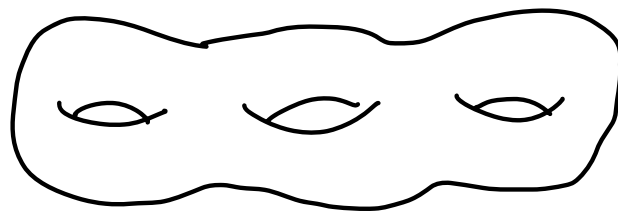
$$g=1$$

$$\chi=0$$



$$g=2$$

$$\chi=-2$$

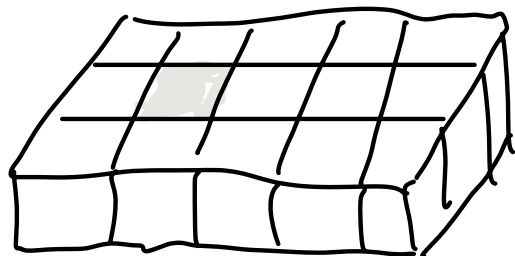


$$g=3$$

$$\chi=-4$$

**Theorem 3.6.4** (Euler characteristic of simple closed surface). *Let  $S$  be a simple closed surface of genus  $g$ . Then the Euler characteristic of  $S$  is*

$$\chi(S) = 2 - 2g.$$



$$\chi = v - e + f$$

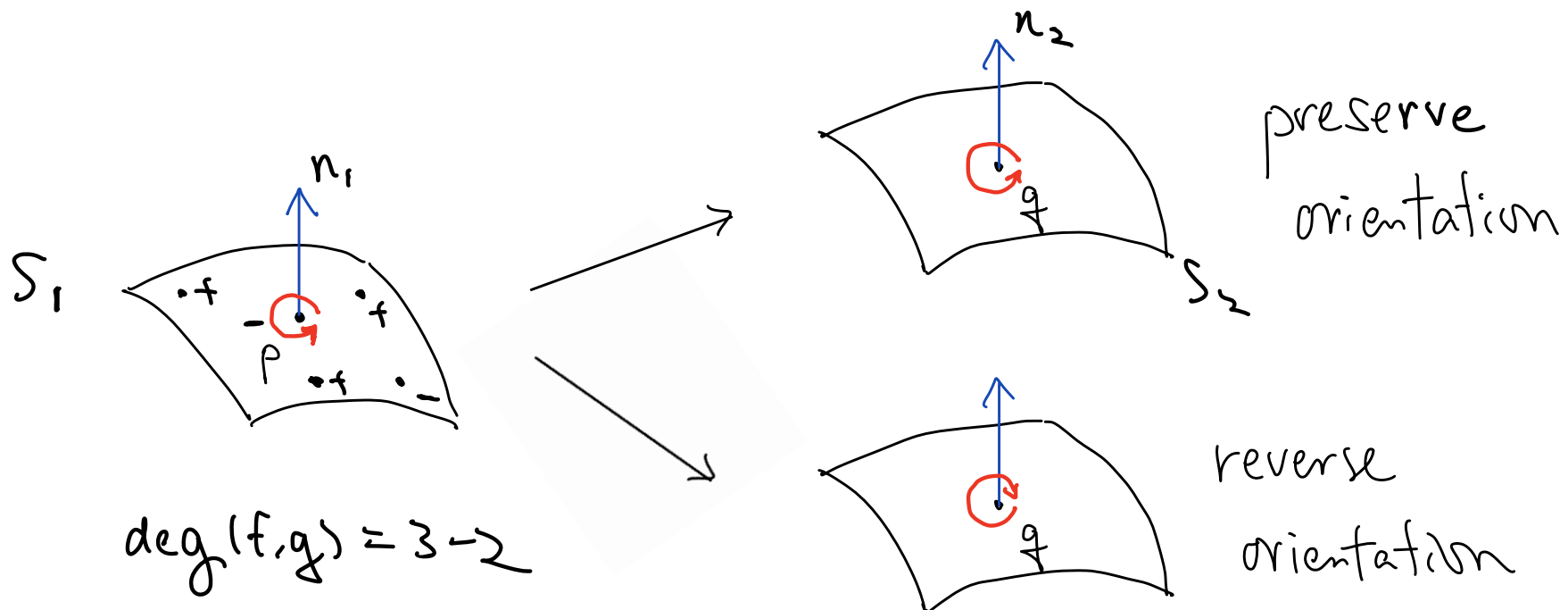
$\downarrow$        $\downarrow$  add a hole  $\Rightarrow \chi - 2$   
 $+4$      $+2$

# Degree of a map between surfaces

Let  $S_1$  and  $S_2$  be two simple closed surface in  $\mathbb{R}^3$ . Let  $f : S_1 \rightarrow S_2$  be a continuous map from  $S_1$  to  $S_2$ . For  $q \in S_2$ , we define the degree of  $f$  at  $q$  to be the integer

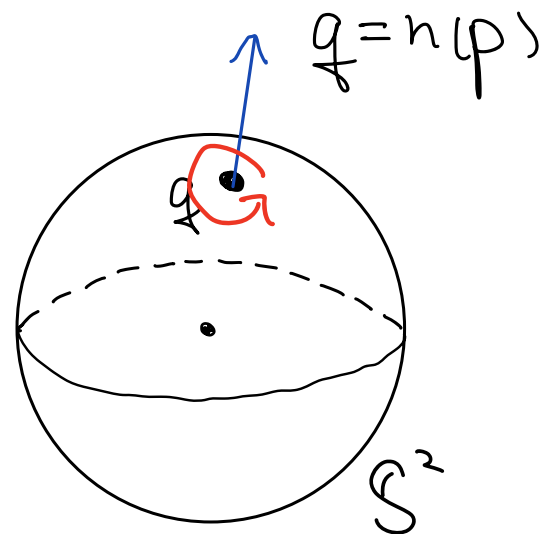
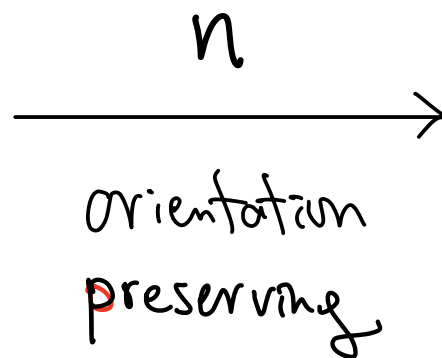
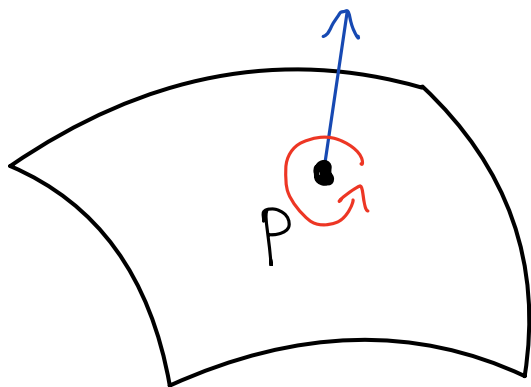
$$\deg(f, q) = \begin{array}{l} \text{number of preimages of } q \text{ preserving orientation} \\ - \text{number of preimages of } q \text{ reversing orientation} \end{array}$$

Same for any  $q$  with finite pre-image. Called  $\deg(f)$

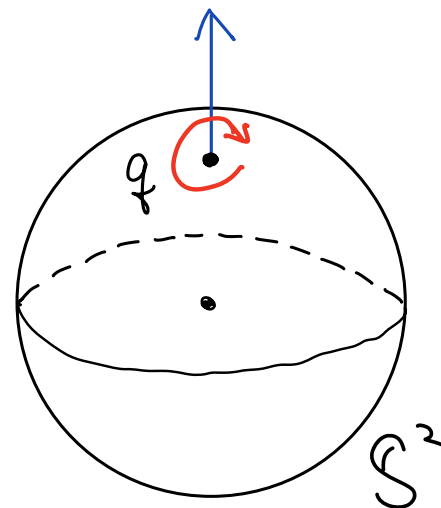
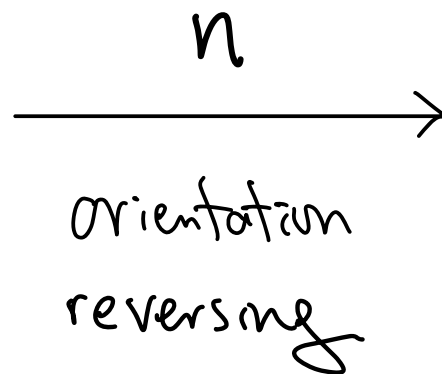
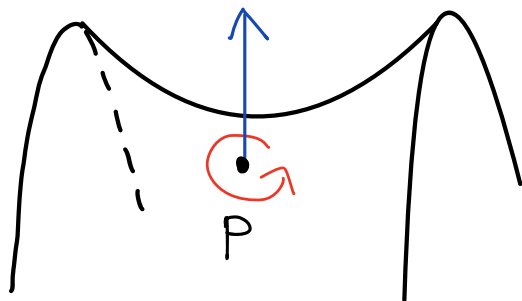


# Degree of Gauss Map

$$K(p) > 0$$

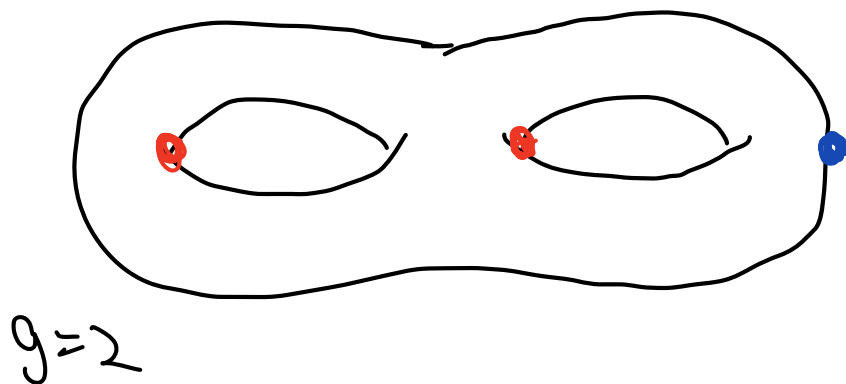
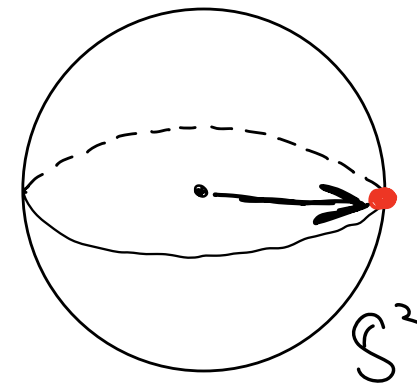
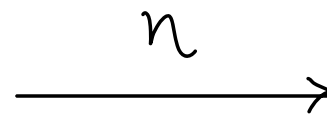
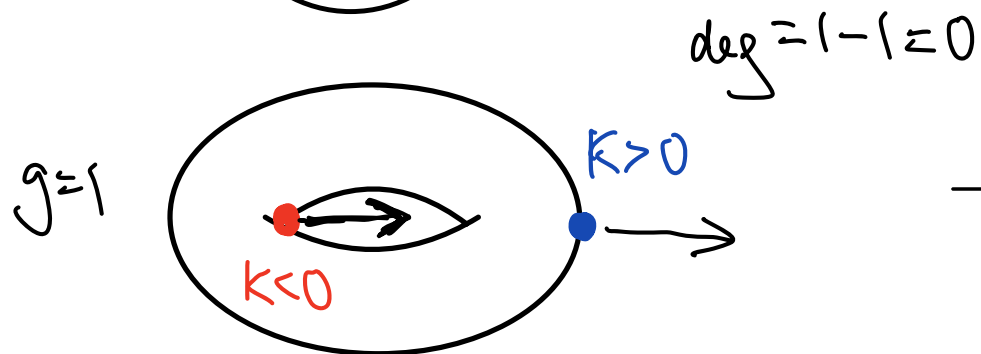
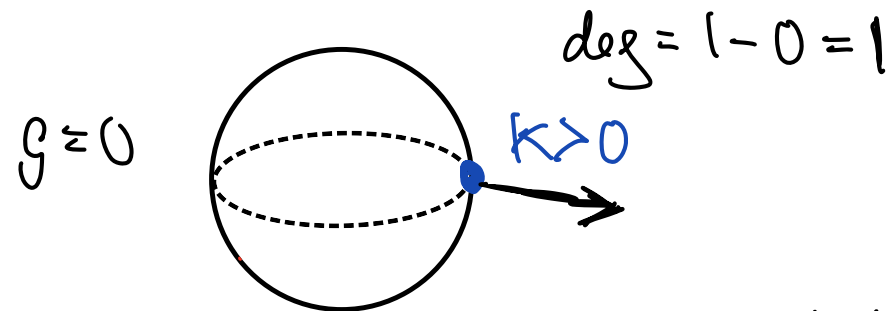


$$K(p) < 0$$



**Theorem 3.6.5** (Degree of Gauss map of simple closed regular surface). *Let  $S$  be a simple closed surface of genus  $g$ . The the degree of Gauss map of  $S$  is*

$$\deg(\mathbf{n}) = 1 - g.$$

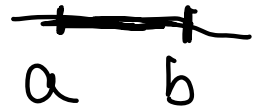


$\deg = 1 - 2 = -1$



**Theorem 3.6.6** (Gauss-Bonnet theorem). Let  $S$  be a simple closed regular surface in  $\mathbb{R}^3$ . Then

$$\iint_S K dA = 2\pi\chi(S)$$



where  $K$  is the Gaussian curvature,  $\chi(S)$  is the Euler characteristic of  $S$  and  $dA = \sqrt{\det(I)} du dv$  is the surface area element. In particular, if  $S$  is homeomorphic<sup>13</sup> to the sphere  $S^2$ , then  $\chi(S) = 2$  and

$$\iint_S K dA = 4\pi.$$

Pf

$$\iint_S K dA = \iint_S \frac{d\sigma}{dA} dA$$

$$= \iint_S d\sigma$$

$$= \deg(n) \iint_{S^2} d\sigma$$

$$= (1-g)(4\pi) = 2\pi(2-2g) = 2\pi\chi(S)$$

